

# Register of Relevant Duties

RSE Licensee: Avanteos Investments Limited ABN 20 096 259 979 (AIL)

RSE Licence Number: L00092691

AFS Licence Number: 245531

Current as at: 26 February 2024

Responsible Person	Relevant Duties owed to AIL <sup>1</sup>	Other relevant Duties <sup>1</sup>	Explanation of potential conflict	How the potential conflict should be managed (Control/Disclose/Avoid)
Gregory Cooper	<ul style="list-style-type: none"><li>Independent Director and Chair AIL</li><li>Board Investment Committee Member AIL</li><li>Board Audit and Risk Committee Member AIL</li></ul>	<b>NSW Treasury Corporation</b> <ul style="list-style-type: none"><li>Director</li><li>Board Investment Committee Chair</li></ul>	Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>Perpetual Limited</b> ABN 86 000 431 827 <ul style="list-style-type: none"><li>Director</li><li>Board Investment Committee Chair</li><li>Board Audit &amp; Risk Committee member</li></ul>	Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>Australian Indigenous Education Foundation</b> ABN 13 127 908 187 <ul style="list-style-type: none"><li>Director</li></ul>	Related party is a corporate sponsor of the Foundation.	Disclose and control on a case by case basis.
		<b>Edstart Pty Ltd</b> ABN 12 601 641 025 <ul style="list-style-type: none"><li>Director</li></ul>	Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
Benjamin Heap	<ul style="list-style-type: none"><li>Independent Director AIL</li><li>Board Audit and Risk Committee Member AIL</li><li>Board Investment Committee Chair AIL</li></ul>	Nil		
John Brogden (Director)	<ul style="list-style-type: none"><li>Independent Director AIL</li><li>Board Audit and Risk Committee Member AIL</li><li>Board Investment Committee Member AIL</li></ul>	Nil		

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Jo-Anne Bloch	<ul style="list-style-type: none"> <li>Independent Director AIL</li> <li>Board Audit and Risk Committee Chair AIL</li> <li>Board Investment Committee Member AIL</li> </ul>	<b>Compensation Scheme of Last Resort</b> Chair	Potential for responsibilities with the company to conflict with the duties owed to AIL or could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>Roscan Pty Ltd</b>  Duties owed to the company may conflict with the duties owed to AIL or could influence decision making for AIL.  Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Duties owed to the company may conflict with the duties owed to AIL or could influence decision making for AIL.  Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>LGIAsuper Corporate Services Pty Ltd</b> Independent Chair	Potential for responsibilities with the company to conflict with the duties owed to AIL or could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>SPSL Corporate Services Pty Ltd</b> Independent Chair	Potential for responsibilities with the company to conflict with the duties owed to AIL or could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>The Sydney Financial Forum</b> <ul style="list-style-type: none"> <li>Director</li> </ul>	Potential for responsibilities with Forum to conflict with duties owed to AIL or could influence decision making for AIL.	Disclose and control on a case by case basis.
		<b>Australian-Israel Chamber of Commerce</b> <ul style="list-style-type: none"> <li>Director</li> </ul>	Potential for responsibilities with Chamber to conflict with duties owed to AIL or could influence decision making for AIL.	Disclose and control on a case by case basis.
Kelly Power (Director)	<ul style="list-style-type: none"> <li>Executive Director AIL</li> <li>Chief Executive Officer of CFS Superannuation<sup>2</sup></li> </ul>	<b>Chief Executive Officer of CFS Superannuation</b> (Role includes responsibilities for Colonial First State Investments Limited (CFSIL) the following and related entities within the CFS Group)	Duties to related party may conflict with the duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Financial Services Council Ltd</b> ABN 82 020 744 163 <ul style="list-style-type: none"> <li>Director of FSC Board</li> <li>Superannuation Board Committee Member</li> </ul>	Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
Martin Codina	Group Executive, Strategy, Risk and Corporate Affairs, CFS	<b>Group Executive, Strategy, Risk and Corporate Affairs</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Colonial First State Investments Limited</b> ABN 98 002 348 352 <ul style="list-style-type: none"> <li>Director</li> </ul>	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
Joshua Grace	Chief Customer Officer, CFS	<b>Chief Customer Officer</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.

Responsible Person	Relevant Duties owed to AIL <sup>1</sup>	Other relevant Duties <sup>1</sup>	Explanation of potential conflict	How the potential conflict should be managed (Control/Disclose/Avoid)
<b>Tania Foster</b> (from 1 July 2023)	Group Executive, Finance and Fund Services, CFS	<b>Group Executive, Finance and Fund Services</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments MidCo Pty Limited</b> ABN 81 646 698 562 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Finco Pty Limited</b> ABN 44 644 661 101 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Management Pty Limited</b> ABN 60 646 159 364 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Services Pty Ltd</b> ABN 90 646 698 160 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Tanfoss Pty Ltd</b> ACN 131 460 636 • Director	Potential for responsibilities for Family Trust to conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>Jeroen Buwalda</b> (from 1 July 2023)	Group Executive, Transformation, Technology & Operations, CFS	<b>Group Executive, Transformation, Technology &amp; Operations</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments MidCo Pty Limited</b> ABN 81 646 698 562 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Finco Pty Limited</b> ABN 44 644 661 101 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>Shenaz Waples</b> (from 24 Nov 2023)	Group Executive, People and Culture, CFS	<b>Group Executive, People and Culture</b> (Role includes responsibilities for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Management Pty Limited</b> ABN 60 646 159 364 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Superannuation and Investments Services Pty Ltd</b> ABN 90 646 698 160 • Director	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>Karin Rathbone</b>	Chief Risk Officer, CFS	<b>Chief Risk Officer, Superannuation and Investments</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.

Responsible Person	Relevant Duties owed to AIL <sup>1</sup>	Other relevant Duties <sup>1</sup>	Explanation of potential conflict	How the potential conflict should be managed (Control/Disclose/Avoid)
<b>Jonathan Armitage</b>	Chief Investment Officer, CFS	<b>Chief Investment Officer</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
		<b>Financial Service Council Ltd</b> ABN 82 020 744 163 • Investment Expert Group – Member	Potential sharing of intellectual property and confidential information which could influence decision making for AIL.	Disclose and control on a case by case basis.
<b>Tania Lim</b> (from 24 Nov 2023)	Executive Director, Internal Audit, CFS	<b>Executive Director, Internal Audit</b> (Role includes responsibilities for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>Brett Marshall</b>	General Counsel & Company Secretary, CFS	<b>General Counsel &amp; Company Secretary</b> (Role includes responsibility for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>Anna Wyke</b> (from 30 Jan 2024)	Company Secretary	<b>Company Secretary</b> (Role includes responsibilities for CFSIL and related entities within the CFS Group)	Duties to related parties may conflict with duties owed to AIL.	Disclose and control on a case by case basis.
<b>David Kells</b> (from 1 July 2023)	RSE Auditor KPMG	REST Superannuation Internal Auditor	Potential sharing of intellectual property and confidential information which could influence decision making for CFS.	Disclose and control on a case by case basis.

RSE Licensee	Relevant Duties of the RSE Licensee	Explanation of potential conflict	How the potential conflict should be managed (Control/Disclose/Avoid)
<b>Avanteos Investments Limited (AIL)</b>	AIL uses related parties to provide administration, investments services and custodial functions	Duties owed to shareholders and related parties may conflict with the Trustee's duty to superannuation fund members.	Disclose and control on a case by case basis.
	AIL is an RSE Trustee for multiple superannuation funds	Duty owed to members of a superannuation fund may conflict with AIL's duty to members of another superannuation fund.	Disclose and control on a case by case basis.  The Board may consider the use of Conflicts Management Committees where appropriate.

- 1 A relevant duty is defined by APRA Superannuation Prudential Standard SPS 521 – Conflicts of Interest as any duty owed by the RSE Licensee or a Responsible Person of the RSE Licensee to beneficiaries or to any other person that might reasonably be considered to have the potential to have a significant impact on the capacity of a Responsible Person to act in the best interests of the RSE Licensee's beneficiaries.
- 2 Colonial First State (CFS) is Superannuation and Investments HoldCo Pty Limited ABN 64 644 660 882 and its subsidiaries which include Colonial First State Investments Limited ABN 98 002 348 352, AFSL 232468 (CFSIL) and Avanteos Investments Limited ABN 20 096 259 979, AFSL 245531 (AIL) (also referred to as CFS Group). CFS is majority owned by an affiliate of Kohlberg Kravis Roberts & Co. L.P. (KKR), with the Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945 (CBA) holding a significant minority interest.

AIL is the trustee of Colonial First State FirstChoice Superannuation Trust ABN 26 458 298 557, Avanteos Superannuation Trust ABN 38 876 896 681, Commonwealth Essential Super ABN 56 601 925 435, encircle Superannuation Fund ABN 68 486 630 403, Star Portfolio Superannuation Fund ABN 24 276 733 959, Symetry Personal Retirement Fund ABN 24 685 968 122 and Ultimate Superannuation Fund ABN 97 900 294 767 and issuer of a range of super and pension products. 29544/FS7818/0224